



WorkSafe - Safety Registered Assessors

WorkSafe - Safety High Risk Licences



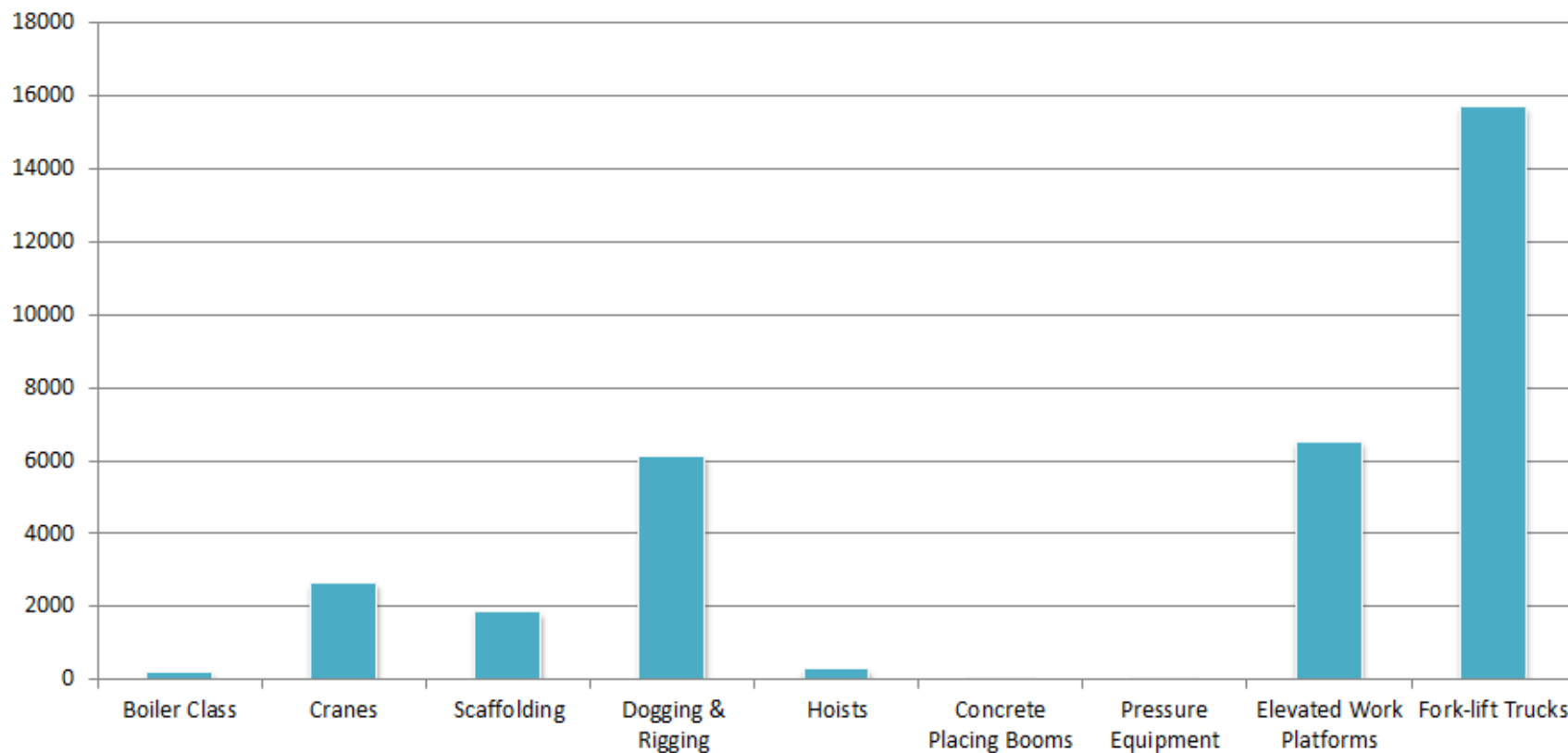
Government of Western Australia
Department of Mines, Industry Regulation and Safety

- WorkSafe has 29 different high risk work licence classes.
- In 2016/2017 Registered WorkSafe Assessors issued 33,293 high risk work licence classes.

WorkSafe – Safety High Risk Licences



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- On 1 September 2017, the Director of Licensing sent a letter to all Registered Assessors advising that interstate assessments would no longer be recognised from 1 October 2017.



WorkSafe - Safety

- WorkSafe does audit assessors and does take legal action when breaches are discovered.
- This could result in a referral to the Public Sector Commissioner or the Corruption and Crime Commissioner.
- These are not isolated incidents, and there are still several Assessors to be brought before the WorkSafe Western Australia Commissioner for a hearing.
- There have been two suspensions and two cancellations from hearings during 2016/2017.
- Consideration is given to the severity of non-compliance.



WorkSafe - Safety

- A former registered WorkSafe Assessor was fined \$1800 (and ordered to pay \$488.50 in costs) for not complying with his duties in assessing candidates for High Risk Work Licences in June 2016.
- The Magistrate emphasised the importance of ensuring that those teaching workers who would go onto risky sites actually taught those workers properly.
- He warned against becoming a “tick and flick culture” when it came to training.
- “It is vitally important to ensure that High Risk Work Licences are only issued to workers who have been appropriately trained and have the skills to perform high risk work safely and competently.



WorkSafe - Safety

- A WorkSafe Assessor was fined \$14,000 and had his registration cancelled for a second time after he was found guilty of breaching his duties as an assessor in June 2017.
- The Magistrate commented that “High Risk Work Licence assessors play an important role in the community, and assessments have to be done properly.”
- He further noted that “there needs to be an element of punishment as well as general deterrence. This was not an isolated incident.”
- These prosecution actions have been taken in response to the discovery of further offences and evidence of how seriously WorkSafe takes any non-compliance with the duties of a High Risk Work Licence Assessor.

WorkSafe – Safety

Common issues found from audit



- The audit team are still seeing NOAs being completed incorrectly.
- This example shows that the performance assessment was dated after the declaration had been signed by the Assessor.
- This could imply that the Assessor had pre-determined the Competency for the candidate.
- There are penalties for making false or misleading declarations and this could result in the Assessor being reported to the Public Sector Commissioner or Corruption and Crime Commissioner.

Assessment Details												
First Assessment <input checked="" type="checkbox"/> Re-Assessment	RTO Name and Course Code	TCE TLU2001A										
Assessment Time	<table border="1"> <thead> <tr> <th>Knowledge Assessment</th> <th>Calculations Assessment</th> <th>Performance Assessment</th> </tr> </thead> <tbody> <tr> <td>Start 10:00</td> <td>Start 11:30</td> <td>Start 10:20</td> </tr> <tr> <td>Finish 11:30</td> <td>Finish 11:45</td> <td>Finish 10:40</td> </tr> </tbody> </table>	Knowledge Assessment	Calculations Assessment	Performance Assessment	Start 10:00	Start 11:30	Start 10:20	Finish 11:30	Finish 11:45	Finish 10:40		
Knowledge Assessment	Calculations Assessment	Performance Assessment										
Start 10:00	Start 11:30	Start 10:20										
Finish 11:30	Finish 11:45	Finish 10:40										
Assessment Date	23 05 2017	23 05 2017	24 05 2017									
Assessment Result	CMP CMP, NYC	CMP CMP, NYC	CMP CMP, NYC									
Assessment Location	TCE Northbridge	Plant Used	2TCT154									
Comments:												
Additional Assessments												
Classes, Times, Dates and Locations:												
Assessor's Declaration												
<small>I declare that I have conducted an assessment in accordance with the requirements of the Standards for Registered Training Organisations (RTOs) 2015 and my conditions of registration as a WorkSafe Registered Assessor. I have signed and recorded details of identification documents of the applicant to satisfy myself of further identity. I understand that making a false declaration is an offence under the Occupational Safety and Health Regulations 1996 and that penalties for making a false declaration may apply.</small>												
Assessor's Family Surname	Assessor's First Given Name	Date										
DILLEY	BRIAN	23 05 2017										
Assessor Number	Assessor's Signature	Applicant is 18 years or older										
100		Applicant is 18 years or older										
The following original identification documents have been submitted (an applicant must provide at least one of the following):												
<input type="checkbox"/> Passport issued or expired not more than 2 years ago	<input checked="" type="checkbox"/> Driver's License – Australian with photo	ID number										
<input type="checkbox"/> Australian Public Service employee ID card with photo	<input type="checkbox"/> Other Australian Government issued ID card with photo	754113										
<input type="checkbox"/> Tertiary Student ID card with photo	<input type="checkbox"/> Western Australian Proof of Age card with photo	Place of issue										
		WA										
Notice of Assessment Number			1508715									
<small>WorkSafe Western Australia is a division of the</small>												
Original	Interim License	RTO	Book									

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Common issues found from audit



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- Answers marked as satisfactory must be comparable to the acceptable answers in the Assessor version of the NAI.
- Comparable has the definition - able to be likened to another; similar.
- When making a decision on comparability it should easily be likened or similar to the acceptable response in the Assessor's version.
- Remember you should be able to explain how the response provided by the candidate is comparable to the acceptable responses.

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Common issues found from audit

- Calculation assessment – The candidate must provide all formulas and how the answer was determined.
- Candidates must respond correctly to all questions.
- The audit team are still seeing calculation assessments being marked as correct without the full formula or the formula is not reflecting the answer in line with the acceptable response.
- Where the candidate has provided a correct formula and the answer differs to the NAI's acceptable response due to decimal points not being considered – the audit team have deemed the answer as being correct.

WorkSafe - Safety Re-assessments



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Guidelines for re-assessment include:

- Candidates undertaking re-assessment within two calendar months of the initial assessment are only required to complete the section of assessment deemed as 'not yet competent' (In this instance RTOs should strive for the same Assessor to complete the re-assessment).
- If a re-assessment occurs outside of this two month period candidates are required to complete a full assessment.
- If re-assessment does not occur within 12 calendar months then re-training is also required.



WorkSafe - Safety

The audit team has conducted pro-active audits at some RTOs.

- Issues found during these audits are:
 - Knowledge Assessments broken into various components;
 - Performance competence based on the training given to candidates for various machinery and equipment without conducting the performance assessment, and;
 - Learning material/mobile phones being allowed and accessed while undertaking the assessment process.



WorkSafe - Safety

- Compliance is not only for WorkSafe's Registered Assessors, but also for Registered Training Organisation's by ensuring:
 - Plant, Machinery and equipment are properly serviced in accordance with the legislation,
 - Electrical equipment in the office has been tagged in accordance with Occupational Safety and Health Regulations 1996 etc,
 - The plant/machinery complies with the minimum requirements outlined in the Unit Of Competency. For example, in order to complete a EWP assessment for a licence to operate a WP then it must have a boom that reaches at least 11 metres or above.

HRWL Classes Reviews



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National Assessment Instruments

- Process to develop
- Questions - Accuracy and relevance
- Review

Units of Competency

- Review
- Processes and timelines

Industry led review of training packages



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Australian Industry Skills Committee

- policy direction for vocational education and training
- *Industry Reference Committee*
- *Technical Advisory Group* High Risk Work Licences

Australian Industry and Skills Committee - Training Package Development and Endorsement Process Policy

<https://docs.education.gov.au/node/43181>

HRWL SSOs



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Artibus	Australian Industry Standards
Industry Reference Committee for Construction, Plumbing and Services	Industry Reference Committee Transport and Logistics
11 HRWL UOCs <ul style="list-style-type: none">• dogging• rigging (basic, intermediate, advanced)• scaffolding (basic, intermediate, advanced)• hoists (materials, personnel)• cranes (tower, self-erecting tower)	13 HRWL UOCs <ul style="list-style-type: none">• Forklifts and Order picking• Cranes –Vehicle loading, Non-slewing, Slewing, Bridge & gantry, Derrick & Portal boom• Boom type EWP• Reach stacker• Steam turbine• Reciprocating steam engine
4000 Subscribers	6000 Subscribers
Draft UOCs Now on Artibus website	Draft UOCs on Australian Industry Skills website soon.

Industry Reference Committee For Construction, Plumbing & Services



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Representation type

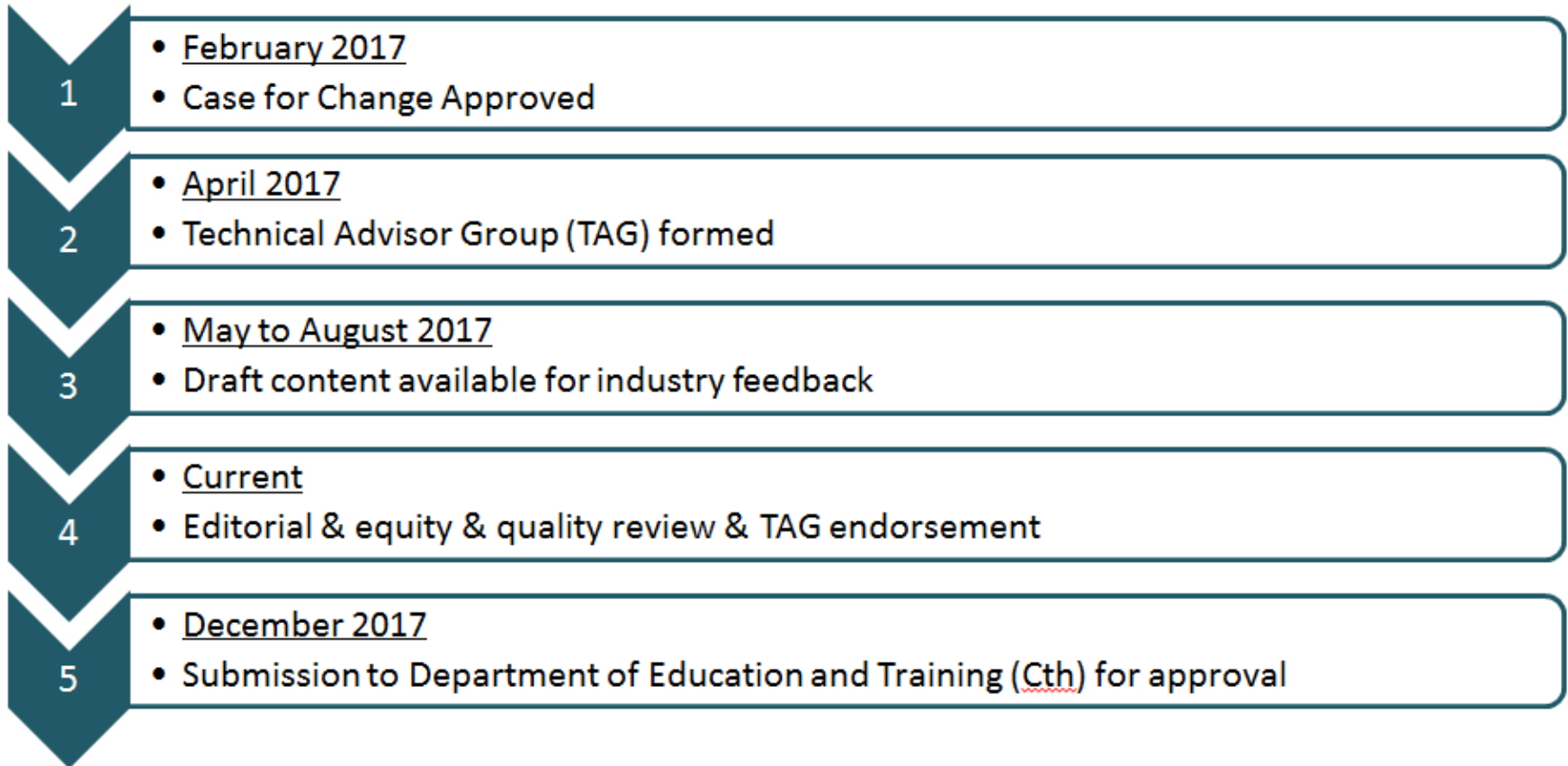
Allocated Peak Organisation/ Associations	Peak organisations/ Associations	Employee Associations	Employer/Enterprise
Master Builders Australia (ACT)	Construction Training Council (WA)	Construction, Forestry, Mining and Energy Union (NSW)	Department of Defence
Housing Industry Association (ACT/QLD)	Association of Wall and Ceiling Industries of NSW /Association of Wall Ceiling Industries Australia & New Zealand (NSW)	Communications Electrical & Plumbing Union (VIC)	Fernbrooke Homes (Qld)
Australian Industry Group (NSW)	Industry Skills Advisory Council NT (ISCNT) (NT)	Australian Manufacturing Workers Union (QLD)	Hutchinson Builders (Qld)
National Fire Industry Association (VIC)		Australian Workers Union (ACT)	
Master Plumbers Australia (VIC)			
Master Painters Australia (QLD)			
Construction Industry Training Fund (TAS)			

Project and process time line



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Artibus Innovation & Australian Industry Standards





Legislation

WHS Laws

- 12 July 2017 - Government had approved the development of a single Work Health and Safety Bill (including resources sector and general workplaces)
- Model National Model WHS
- Consultation
- Ministerial Advisory Panel

Penalties

- Parliament amendments to *Occupational Safety and Health Act 1984* and the *Mines Safety and Inspection Act 1990*.

In July 2017, a notice appeared in the West Australian (terms of reference) advising that an Inquiry into WorkSafe was being conducted. For further information please follow the link below:

[http://www.parliament.wa.gov.au/parliament/commit.nsf/\(InqByName\)/Inquiry+into+WorkSafe?opendocument](http://www.parliament.wa.gov.au/parliament/commit.nsf/(InqByName)/Inquiry+into+WorkSafe?opendocument)

Links



Artibus Innovation – Subscribe

- http://www.artibus.com.au/?page_id=23 – FAQ page

Australian Industry Standards – Subscribe

- <http://www.australianindustrystandards.org.au/projects/high-risk-work-licence-project/> (Select the Transport and Logistics Training Package)

Australian Industry and Skills Committee - Training Package Development and Endorsement Process Policy

- <https://docs.education.gov.au/node/43181>

WorkSafe Assessor mailbox – NAI queries/suggestions

- Worksafe.assessors@dmirs.wa.gov.au

Parliamentary Inquiry into WorkSafe

- [http://www.parliament.wa.gov.au/parliament/commit.nsf/\(InqByName\)/Inquiry+into+WorkSafe?opendocument](http://www.parliament.wa.gov.au/parliament/commit.nsf/(InqByName)/Inquiry+into+WorkSafe?opendocument)